Calculation of exchange integrals and electronic structure for manganese ferrite

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The electrical and magnetic properties of manganese ferrite (MnFe$_2$O$_4$) are calculated with the density-functional theory (DFT) method for both normal and inverse spinel structures. The exchange functional is chosen to be a mixture of Becke exchange and Fock exchange with variable weight (w). The exchange integrals $J_{AB}$ (the exchange integral between the nearest-neighbor A and B sites) and $J_{BB}$ (the exchange integral between nearest-neighbor B sites) are calculated by substituting the total energies of different magnetic ground states into the Heisenberg model. The calculated value of $J_{AB}$ is in agreement with the experimental values measured by neutron diffraction and NMR. Also, the parameters $U$ (Coulomb repulsion energy), $A$ (charge-transfer energy), and $E_G$ (band gap) are extracted from the density of states (DOS) and plotted versus $w$. Our calculated band gap shows that MnFe$_2$O$_4$ is a complex insulator, in contrast to previous local spin-density approximation and generalized gradient approximation calculations, which showed it to be half metallic.

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I. INTRODUCTION

Manganese ferrite is a well-known microwave ferrite material with a spinel crystallographic structure (space group $Fd3m$), in which O$^{2-}$ form tetragonal and octagonal local symmetries that are referred to as A and B sites, respectively. A normal spinel structure, per primary cell, consists of two A sites occupied by two Mn$^{2+}$ and four B sites each occupied by four Fe$^{3+}$. On the other hand, an inverse spinel structure, per primary cell, consists of two A sites occupied by two Fe$^{3+}$ and four B sites occupied by both two Mn$^{2+}$ and two Fe$^{3+}$ ions. Experiments have shown that manganese ferrite bulk material existed in a mixture of normal and inverse spinel structures and that the range of inverse spinel structure varied around 20%, depending on the details of material preparation. Although this ferrite material has had a niche in microwave technology for a long time, the basic mechanisms behind the ferrimagnetic and insulating ground states have only been understood in the frame of the Hubbard model. The superexchange interaction between A and B sites as implied in the Hubbard model gives rise to an antiferromagnetic $J_{AB}$, which is much stronger than antiferromagnetic $J_{BB}$ and $J_{AA}$ due to the local symmetries of A and B sites and the crystal structure. Consequently, it yielded a ferrimagnetic ground state as observed in neutron-diffraction experiments. At the same time, the on-site Coulomb repulsion in the Hubbard model splits the half filled d bands of Fe$^{3+}$ and Mn$^{2+}$ into full and empty sub-bands with opposite spins, and thus, gives rise to a band gap at the Fermi level, referred to as a Mott insulator. The insulating property of this material has been confirmed by activation energy experiments. Also, since Mn and Fe belong to later 3d transition metals, MnFe$_2$O$_4$ may be classified as a charge-transfer insulator in which the d-d transfers between magnetic ions are via the intervening ligand through $p$-$d$ hybridization. Regardless of whether MnFe$_2$O$_4$ is a Mott or charge-transfer insulator, a reliable quantitative calculation of exchange integrals and energy gaps requires an accurate estimation of the transfer integral ($t$), on-site Coulomb repulsion ($U$), and charge-transfer energy ($\Delta$), which may not be available in the framework of the Hubbard model self-consistently.

Band calculations have been rather successful in quantitatively estimating the electronic structure of nonmagnetic materials, if the electron-electron interaction was properly approximated by a single-electron Hamiltonian. However, in practical calculations for transition-metal oxides, the results are rarely in agreement with experiments due to the single-electron approximation, and sensitive to forms of exchange and correlation functionals. For example, Hartree-Fock calculations usually yield an antiferromagnetic exchange integral weaker than the experimental value, and give rise to a larger band gap. For nickel monoxide (NiO), HF yielded a $J_2$ (exchange integral between next-nearest neighbors) of 49 K, compared to 221 K given by magnon dispersion measurement, a band gap of about 14 eV, compared to about 4.0 eV as measured by an optical reflectance spectrum, or photoemission spectroscopy (PES) and bremsstrahlung isochromat spectroscopy (BIS). On the other hand, local spin-density approximation (LSDA) usually gives rise to a band gap smaller than experimental values. When the LSDA is applied to NiO, the band gap is about 0.9 eV, much smaller than the experimental value. Several corrections to the LSDA, such as the self-interaction correction (SIC) and generalized gradient approximation (GGA), and LSDA+$U$ (Ref. 49) were intended to improve the band gap. However, in LSDA+$U$, $U$ is an $ad$ hoc parameter. The SIC and GGA are not sufficient to open the energy gap as large as the experimental value. For NiO, the band gap is 2.54 by SIC (Refs. 53 and 54) and 1.2 eV by GGA. Moreover, the shortcoming in which most of the above calculations failed to predict the correct band-gap and exchange integrals for later 3d transition metal oxides can be traced to the inaccurate predictions of predicting $U$ and $t$. HF overestimates $U$ and LSDA underestimates $U$. For NiO, HF yields $U \sim 27.9$ eV (Ref. 30) and LSDA yields $U \sim 2$ eV, compared to 7–9 eV measured from PES and BIS experiments. HF underestimates $t$ and LSDA overestimates $t$. For NiO, since the contribution to $t$ is substan-
tially the covalence effect between Ni$^{2+}$ and O$^{2-}$ and the covalence effect of $t_{2g}$ orbitals is negligible, $t$ is proportional to the crystal-field split ($\Delta_{CF}$) approximately.\textsuperscript{5} HF yields $\Delta_{CF} \approx 0.012$ hartree $=0.33$ eV\textsuperscript{40} and LSDA yields $\Delta_{CF} \approx 1.3$ eV\textsuperscript{40}, compared to 8500 cm$^{-1} \approx 1$ eV for [Ni(H$_2$O)$_6$]$^{2+}$ (Ref. 56) or 7250 cm$^{-1} \approx 0.9$ eV for KNiF$_3$.\textsuperscript{55} Since HF underestimates $t$ and overestimates $U$, according to the Hubbard model it underestimates the superexchange interaction and overestimates the band gap. On the other hand, since LSDA overestimates $t$ and underestimates $U$, according to the Hubbard model it overestimates superexchange interaction and underestimates band gap.

In fact, in a solid, $U$ can be obtained by renormalizing the on-site Coulomb repulsion of the bare ions ($U_b$) in a noninteracting system, which is given by

$$U = \frac{U_b}{1 + U_b/G},$$

where $G$ is the Green function that takes the electron-electron interaction into account.\textsuperscript{5} In HF, since the electron-electron correlation is neglected, $U$ is equal to $U_b$, which is equivalent to $G \rightarrow \infty$. When the electron-electron correlation is increasing, $G$ is finite but decreasing in value. For transition metals, Kanamori estimated $U \sim W$, where $W$ is the bandwidth of the $d$ band, which is much smaller than $U_b$.\textsuperscript{7} In LSDA, the overemphasized electron-electron correlation yields $G \sim W$, which is much smaller than $U_b$, and, consequently, yields $U \sim W$.\textsuperscript{40} Also, $\Delta_{CF}$ can be interpreted as the different $p$-$d$ hybridization strength for the $p_g$, and $t_{2g}$ orbitals.\textsuperscript{17} Thus, any underestimation or overestimation of hybridization may yield an incorrect $\Delta_{CF}$. From the result of $\Delta_{CF}$, it is obvious that the hybridization is underestimated in HF but overestimated in LSDA. This is consistent with the underbinding problem of HF (Refs. 30 and 58) or the overbonding problem of LSDA,\textsuperscript{59,60} which is also due to the underestimation in HF or overestimation in LSDA for the electron-electron correlation. Thus, the failures of the above calculations in later 3$d$ transition-metal oxides are inherited by the exchange-correlation functional chosen to approximate the electron-electron interaction. From the results of the band gap, SIC and GGA are more accurate than both LSDA and HF in approximating the electron-electron correlation, but still insufficient for transition-metal oxides. However, a mixture of Fock exchange and LSDA may be the proper approximation in insulating transition metal oxides, since it is based on the linear interpolation of the adiabatic relation of Kohn-Sham density-functional theory.\textsuperscript{61,62} In this paper, our calculation is based on the mixture of Fock exchange and Becke exchange for MnFe$_2$O$_4$. We calculated $J_{AB}$, $J_{BB}$, $E_G$, $U$, and $\Delta$ as a function of $w$. We find that $J_{AB}$ and $J_{BB}$ agree with the experimental values, and that MnFe$_2$O$_4$ is an insulator.

II. APPROACH

We choose a modified version of Becke’s parametrization of the exchange-correlation approximation, which is examined for a wide range of atoms and molecules.\textsuperscript{62} In this approximation, the correlation part is given by

$$E_C = E_C^{\text{LSDA}} + 0.81 \Delta E_C^{\text{PW}},$$

where $E_C^{\text{LSDA}}$ and $\Delta E_C^{\text{PW}}$ are LSDA correlation and Perdew-wang GGA (PWGGA) correction, respectively.\textsuperscript{44,45} The exchange part is given by

$$E_X = (1 - w)(E_X^{\text{LSDA}} + 0.9 \Delta E_X^B) + w E_X^{\text{exact}},$$

where $E_X^{\text{exact}}$, $E_X^{\text{LSDA}}$, and $\Delta E_X^B$ are exact exchange, LSDA exchange, and Becke gradient correction, respectively. In Becke’s original parametrization, 0.81, 0.9, and $w = 0.2$ are determined from the least-squares fitting of atomization energies, ionization potentials, and proton affinities.\textsuperscript{62} In this paper, we are allowing $w$ to vary between 0 and 1 to fit the experimental value of $J_{AB}$ and $J_{BB}$. In the calculation, $E_X^{\text{exact}}$ is replaced by the Fock exchange ($E_X^F$) according to the argument that the exact exchange, the exchange of the noninteracting Kohn-Sham reference system, is approximately equal to the Fock exchange.\textsuperscript{59,60} We choose local Gaussian basis sets for Mn$^{2+}$, Fe$^{3+}$, and O$^{2-}$. The basis set of Mn$^{2+}$ (86-411d41g) was optimized for MnO (Ref. 30) and KMN$F_3$. The basis set of Fe$^{3+}$ (86-411d41g) was optimized for Fe$_2$O$_3$.\textsuperscript{53} The basis set of O$^{2-}$ (8-411g) was optimized for a wide range of oxide materials including MnO and NiO. The calculations were implemented by the CRYSTAL98 code\textsuperscript{64} with experimental geometry,\textsuperscript{65} where the lattice constant $a = 8.511$ Å and the position of O$^{2-}$ is $u = 0.3846$, in a self-consistent spin-dependent scheme, in which the net spin in a primary cell is locked at the theoretical value of a simple ionic model. For example, if we designate the system to have an assumed ferromagnetic structure, the net spin in a primary cell will be locked at 30. In the calculation, we found that the convergence depends on the magnetic structure assigned to the lattice and $w$. For example, for the assumed ferromagnetic structure, any value of $w$ yielded convergence. For the experimental ferrimagnetic structure, a value of $w$ smaller than 40% caused divergence. The divergence was the result of the conflict between the highly localized basis sets (optimized by HF) and LSDA terms in the Hamiltonian that favor delocalized states. To improve the convergence and avoid time-consuming optimization for every $w$, we expanded the 3$d$ part of the Fe$^{3+}$ basis set up to 130% in the radial direction. This adjustment of basis set improves the value of $J_{BB}$ toward the experimental value.

III. CALCULATED RESULTS

A. Magnetic properties

One of our main focuses in this calculation was to be able to explain numerically the observed values of $J_{AB}$ and $J_{BB}$ in MnFe$_2$O$_4$. We were only concerned with $J_{AB}$ and $J_{BB}$, since there was no experimental data for $J_{AA}$ with which to compare. To determine $J_{AB}$ and $J_{BB}$, we calculated the ground-state energies of three different magnetic structures denoted as FM, FI-1, and FI-3. FM is the assumed ferromagnetic structure, in which all the spins in the A and B sites in a primary cell are parallel to each other. FI-1 is the observed ferrimagnetic structure in experiments, in which the spins
obtained. The calculation will diverge if $w$ since the aligned antiparallel. Structure FI-2, where the spins within the $B$ magnetic structure, in which the spins within the $A$ sublattice are aligned parallel and spins within the $B$ sublattice are aligned antiparallel, is not considered, since $J_{AA}$ is not of interest here. Assuming the spin arrangement is collinear and substituting each magnetic structure into the Heisenberg model, we obtain

$$J_{AB} = \frac{1}{96S^2}(E_{FL-1} - E_{FM}),$$

$$J_{BB} = \frac{1}{32S^2} \left[ E_{FL-3} - \frac{E_{FL-1} + E_{FM}}{2} \right].$$

(4)

where $S = \frac{\pi}{2}$ is assumed according to the ionic model. The calculated $J_{AB}$ and $J_{BB}$ are plotted as a function of $w$ in Fig. 1 for a normal spinel structure using optimized basis sets. The calculated $J_{AB}$ is negative (antiferromagnetic) for all values of $w$, and becomes stronger when $w$ decreases. In the case of pure Fock exchange ($w = 100\%$), $J_{AB} = -4.7$ K is obtained. The calculation will diverge if $w$ is smaller than 40% for FI-1 and FI-3 structures. At $w = 40\%$, $J_{AB} = -15.3$ K is obtained, which is reasonable if compared to $J_{AB} = -22.7$ K obtained from nuclear magnetic resonance$^{66}$ and $J_{AB} = -19.1$ K as measured from magnon dispersion.$^{67,68}$ However, our calculated $J_{BB}$ was always positive ($\sim 10$ K), representing a ferromagnetic $J_{BB}$, which is not in agreement with the experimental value of $-3.0$ K.$^{66}$ In fact, when $w$ decreases from 100%, $J_{BB}$ first increases slightly and reaches a maximum at 50% and then decreases slightly. For inverse spinel structure, where we assumed all Mn$^{2+}$ are on $B$ sites (100% inverse), we obtained $J_{AB} = -4.4$ K at $w = 100\%$ and $J_{AB} = -14.5$ K at $w = 50\%$ (Fig. 1). When $w$ was chosen to be smaller than 50%, the self-consistent iteration is divergent. As in the normal spinel structure, when $w$ decreases, the antiferromagnetic $J_{AB}$ becomes stronger, but $J_{BB}$ was always positive ($\sim 7$ K).

The above results are explained in terms of the competition between direct exchange and superexchange interactions between spins at $A$ and $B$ sites. As discussed in the introduction, HF underestimates $t$ and overestimates $U$ and consequently underestimates the superexchange contribution to $J_{AB}$. Since the distance between an $A$ site and its nearest-neighbor $B$ site is 3.5 Å and the bonding angle of $A$-$O$-$B$ is $122.0\^\circ$, the direct exchange contribution to the exchange integral is negligible. Thus, we obtain an antiferromagnetic $J_{AB}$ even using only the Fock exchange, although the value of $J_{AB}$ is about 20% of the experimental value. On the other hand, since the distance between the nearest-neighbor $B$ sites is 3 Å and the bonding angle of $B$-$O$-$B$ is $94.5\^\circ$, the direct exchange exceeds the superexchange contribution underestimated by HF. Thus, a pure Fock exchange leads to a qualitatively incorrect ferromagnetic $J_{BB}$. This conclusion is applicable to manganese monoxide (MnO) also. In MnO, $J_2$ (the exchange integrals between the next-nearest-neighbor Mn$^{2+}$ ions with a 180° Mn-O-Mn bond) given by HF is $\sim 1.4$ K, compared to the experimental value of $\sim 4.8$ K. On the other hand, $J_1$ (the exchange integrals between nearest-neighbor Mn$^{2+}$ ions with a 90° Mn-O-Mn bond) given by HF is $\sim 0.19$ K,$^{69,70}$ compared to the experimental value of $\sim 1.0$ K.$^{70,71}$ However, as $w$ decreases, the underestimation of superexchange is compensated by the LSDA contribution contained in the Becke exchange, since pure LSDA usually overestimates $t$ and underestimates $U$ and, consequently, overestimates the superexchange contribution to the exchange integrals. Therefore, in the case of $J_{AB}$, since the direct exchange is negligible, the antiferromagnetic $J_{AB}$ becomes more and more negative or antiferromagnetic when $w$ decreases. However, in the case of $J_{BB}$, the contributions from both direct exchange and superexchange increase and tend to cancel each other when $w$ decreases. As a result, $J_{BB}$ is always positive for both normal and inverse spinel structures.$^{66}$

$J_{BB}$ for an inverse spinel structure is usually about 35%–40% smaller than that of the normal spinel structure at the same value of $w$. It is noted that the 3d wave functions of Fe$^{3+}$ and Mn$^{2+}$ are almost the same except that the 3d wave functions of Mn$^{2+}$ are more extensive in the radial direction. The improvement of $J_{BB}$ for the inverse spinel structure could be due to the expansion of 3d wave functions of magnetic ions at $B$ sites. Thus, we expect that expanding the 3d wave function of Fe$^{3+}$ in a radial direction will improve $J_{BB}$ toward the experimentally observed antiferromagnetic value.

We have expanded the 3d wave function of Fe$^{3+}$ in the radial direction by a nonlinear regression fitting of scaled original wave functions. In detail, we define the expanded 3d wave function $\tilde{R}(r)$ as

$$\tilde{R}(r) = \lambda^{3/2}R(\lambda r),$$

(5)

where $\lambda$ denotes the scaling factor and $R(r)$ is the original wave function. Both $R(r)$ and $\tilde{R}(r)$ are defined by a linear combination of four Gaussian-type orbit with four exponential and four contraction parameters. We found that expand-
ing the 3d wave function of Fe$^{3+}$ improved the calculated value of $J_{BB}$ for both normal and inverse spinel structures. For the normal spinel structure, using $\lambda = 1/1.3$, we obtain $J_{AB} = -18.3$ and $J_{BB} = -4.4$ K at $w = 30\%$, which are quantitatively in agreement with experimental values (Fig. 2). For the inverse spinel structure, using $\lambda = 1/1.3$ we obtain $J_{AB} = -21.4$ K at $w = 40\%$, which is also qualitatively in agreement with experimental values (Fig. 2). We also note that the expanding 3d wave function improved the convergence of the calculation. For example, with $\lambda = 1/1.3$ the calculation is convergent at $w = 0.30$ for the normal spinel structure.

B. Electronic structure

So far we have shown that our calculation can lead to values of $J_{AB}$ and $J_{BB}$ in agreement with experiments. Without changing any parameters, we want to show that our calculation can also lead to values of $E_G$, $U$, and $\Delta$ consistent with experiments.

First, we consider the Mulliken population of a FI-I magnetic structure calculated using optimized basis sets. Since the calculation was spin dependent, it is necessary to denote the Mulliken population of spin-up and spin-down electrons as $n_a$ and $n_b$, respectively. The polarized ($n_a - n_b$) and depolarized ($n_a + n_b$) Mulliken populations of Mn$^{2+}$ and Fe$^{3+}$ versus $w$ (Fig. 3) show that, when $w$ decreases, the divergence between our calculation and the ionic model increases. This divergence is due to the hybridization between the d orbits of the magnetic ions and the orbits of the $O^{2-}$ ions. For example, the calculated polarized Mulliken populations for either Mn$^{2+}$ or Fe$^{3+}$ given by our calculation are smaller than 5 (the value predicted by the ionic model), and decrease when $w$ decreases. For $w = 40\%$, the polarized Mulliken population (net spin) of Fe$^{3+}$ is 4.45 and $-4.75$ for Mn$^{2+}$, but for $w = 100\%$, the net spin of Fe$^{3+}$ is 4.72 and $-4.87$ for Mn$^{2+}$. The calculated depolarized Mulliken populations of Mn$^{2+}$ and Fe$^{3+}$ are larger than 23 (including the core electrons), the value predicted by the ionic model, and increase when $w$ decreases. Moreover, as predicted by crystal-field theory, both polarized and depolarized Mulliken populations of d orbits of magnetic ions split to $e_g$ and $t_{2g}$ orbits. If we plot the polarization rate (the ratio of the polarized Mulliken population to the depolarized one) of d orbits of Mn$^{2+}$ and Fe$^{3+}$ versus $w$ (Fig. 4), we find that in Fe$^{3+}$, $t_{2g}$ orbits are less polarized than $e_g$ and that in Mn$^{2+}$, $e_g$ orbits are less polarized than the $t_{2g}$ submanifold, which represents the different local symmetries of Mn$^{2+}$ and Fe$^{3+}$. In the normal spinel structure, Fe$^{3+}$ and Mn$^{2+}$ ions are located at octahedral and tetrahedral sites, respectively. At an octahedral site, the $e_g$ orbit $d_{z^2}$ extend directly toward the $O^{2-}$ at the vertexes and the $t_{2g}$ orbit $d_{xz}$ extend toward the edges. Thus, the $e_g$ orbit hybridize more heavily with the orbits of $O^{2-}$ than $t_{2g}$ orbit and, as a result, the $e_g$ orbits are less polarized than $t_{2g}$ orbit. On the other hand, at a tetrahedral site, the $e_g$ orbit extend toward the edge and the $t_{2g}$ orbit toward the $O^{2-}$ (not directly). Thus, the hybridization between the $t_{2g}$ orbit and the orbits of $O^{2-}$ is stronger than that between the $e_g$ orbit and the orbits of $O^{2-}$. As a result, the $t_{2g}$ orbit is less polarized than the $e_g$ orbit. If we compare a 4d orbit and its 3d counterpart, we find that a 4d orbit is always less polarized than its 3d coun-
terpart. This is the result of the fact that the $4d$ orbit is always more extensive than its $3d$ counterpart and consequently hybridized more heavily with the orbitals of $O^{2-}$.

If we compare a $d$ orbit of $Mn^{2+}$ and its counterpart of $Fe^{3+}$, we find that a $d$ orbit of $Mn^{2+}$ is always more polarized than its counterpart of $Fe^{3+}$. One reason is that although a $d$ orbit of $Mn^{2+}$ is more extensive than its counterpart of $Fe^{3+}$ and the length of the Mn-O bond ($\approx 1.98$ Å) is little shorter than that of the Fe-O bond ($\approx 2.01$ Å), the difference of the $p-d$ transfer integral ($t_{pd}$) between the $e_g$ and $t_{2g}$ orbitals for Mn$^{2+}$ should be weaker than that for Fe$^{3+}$ due to the tetrahedral local symmetry of Mn$^{2+}$, in which there is no orbit of Mn$^{2+}$ extending directly toward the O$^{2-}$ ion. The other reason is that since the configuration $[d^6L]$ for the $[\text{MnO}_6]^{6-}$ complex has a higher energy (more unstable) than that for the $[\text{FeO}_6]^{3-}$ complex, Mn$^{2+}$ has a higher $\Delta$ than Fe$^{3+}$. If we focus on the dependence of polarization rate on $w$, we find that when $w$ decreases the polarization rates of all the $d$ orbitals of magnetic ions decrease. The Fork term underestimates the hybridization. On the other hand, the LSDA term in the Becke exchange overestimates the electron-electron correlation and consequently overestimates the hybridization. Since $w$ is the linear interpolation coefficient to balance the Fock and the Becke exchanges, we can expect that, when $w$ decreases, the covalent effect between the magnetic ions and O$^{2-}$ will increase and as a result the polarization rates of the $d$ orbitals will decrease. However, different $d$ orbitals exhibit different sensitivity to $w$. In Fig. 4, we find that $3d$ $e_g$ is more sensitive than $3d$ $t_{2g}$ in Fe$^{3+}$, and that $3d$ $t_{2g}$ is more sensitive than $3d$ $e_g$ in Mn$^{2+}$. This is more evidence that $3d$ $e_g$ is more heavily hybridized with the orbitals of O$^{2-}$ than $3d$ $t_{2g}$ in Fe$^{3+}$, and that $3d$ $t_{2g}$ is more heavily hybridized with the orbitals of O$^{2-}$ than $3d$ $e_g$ in Mn$^{2+}$ due to the local symmetry of the A (tetrahedral) and B (octahedral) sites.

Also, we have considered the density of states (DOS) projected on sites and the $d$ orbitals of magnetic ions for the FI-1 magnetic structure at $w = 40\%$ calculated using an optimized basis set (Fig. 5). Compared to the DOS given by LSDA (Ref. 72) or GGA, the DOS given by our calculation is quite different. First, the DOS given by LSDA or GGA implied that MnFe$_2$O$_4$ is half metallic, where the spin-down Mn$^{2+}$ and the spin-down Fe$^{3+}$ bands overlapped each other and crossed over the Fermi level. However, in our calculation, the DOS shows that MnFe$_2$O$_4$ is a typical insulator with a band gap between the spin-down Mn$^{2+}$ and spin-down Fe$^{3+}$ bands. Second, the DOS given by LSDA or GGA implied that MnFe$_2$O$_4$ is a typical Mott insulator for both Fe$^{3+}$ and Mn$^{2+}$, in which the ligand $p$ band is located.

**FIG. 4.** Polarization ratio $|n_a-n_b|/(n_a+n_b)$ of Fe$^{3+}$ and Mn$^{2+}$.  

**FIG. 5.** The DOS of MnFe$_2$O$_4$ projected on sites and orbits.
at a lower energy than the \( d \) bands of transition-metal ions in the valence band. However, in our calculation, the Fe\(^{3+} \) and O\(^{2-} \) system is a typical charge-transfer insulator and the Mn\(^{2+} \) and O\(^{2-} \) system is an interim state between the Mott insulator and charge-transfer insulator. The DOS projected on the O\(^{2-} \) site shows that there is a wide (compared to the \( d \) bands of magnetic ions) O\(^{2-} \) band extending from \(-0.42 \) to \(-0.22 \) hartree (the top of the valence band) for spin-up electrons and from \(-0.45 \) to \(-0.16 \) hartree (the top of the valence band) for spin-down electrons. If we plot the DOS projected on Fe\(^{3+} \) and O\(^{2-} \) sites together, we find that in the region around the Fermi level (\(-0.6 \) to 0.4 hartree) a \( d \) band (\( e_g \) or \( t_{2g} \)) of Fe\(^{3+} \) splits into three sub-bands, which separate from each other. In these sub-bands, two of them are characteristic of a narrow bandwidth (about 0.05 hartree) but have opposite spin directions, which correspond to the localized spin-up and -down \( d \) states of Fe\(^{3+} \). It should be noted that the spin-up localized \( d \) band of Fe\(^{3+} \) is located at a lower energy than the O\(^{2-} \) band at the top of the valence band, which is characteristic of a typical charge-transfer insulator, such as NiO\(^{2-} \),\(^{17,18} \) Also, one of the sub-bands overlaps with the O\(^{2-} \) band at the top of the valence band as a result of the hybridization between the \( d \) orbits of Fe\(^{3+} \) and the orbits of O\(^{2-} \). However, if we plot the DOS projected on Mn\(^{2+} \) and O\(^{2-} \) sites together, the spin-down localized (narrow) sub-band is located at the top of valence band and overlaps with the O\(^{2-} \) band, which is an interim state between the Mott insulator and the charge-transfer insulator.

In fact, the above differences between the DOS given by LSDA or GGA and that given by our calculation may be simplified to three important parameters of transition-metal oxides \( E_G \), \( U \), and \( \Delta \). In Fig. 5(a), we show schematically \( U \) and \( \Delta \) for Fe\(^{3+} \). It is clear that we have extracted a \( U \) value from the \( t_{2g} \) bands. There also exists a \( U \) value associated with \( e_g \) bands. The same applies for the extraction of \( \Delta \) from Fig. 5(a). There also corresponds a set of \( U \) and \( \Delta \) values for the Mn\(^{2+} \) ion. \( E_G \) is simply the separation between the valence and conduction bands at the Fermi level. First, we consider \( E_G \) and \( U \), which are closely related in MnFe\(_2\)O\(_4\). Intuitively, an overestimated electron-electron correlation in LSDA or GGA implies easier transfer of \( 3d \) electrons from one magnetic ion to another, which means a higher conductivity at finite temperature or a narrower band gap, which also means a lower potential barrier or a smaller \( U \). In the DOS given by LSDA or GGA, if \( U \)'s of Fe\(^{3+} \) and Mn\(^{2+} \) were increased, the spin-down Mn\(^{2+} \) and spin-down Fe\(^{3+} \) \( d \) bands would be separated and, consequently, an insulating result would be yielded. Unfortunately, since LSDA or GGA overestimates the electron-electron correlation, \( U \) in LSDA or GGA was insufficient to separate the spin-down Mn\(^{2+} \) and spin-down Fe\(^{3+} \) \( d \) bands and consequently to open a band gap. On the other hand, the underestimated electron-electron correlation yields the opposite result as predicted by LSDA or GGA. In HF calculations, \( U \) is much larger than the experimental value, and thus, \( E_G \) is much larger than the experimental value. Since the functional chosen in our calculation is a mixture of Fock and Becke exchanges with a variable weight \( w \), it is possible to study the dependence of \( E_G \) and \( U \) on \( w \) (Figs. 6 and 7), which reveals the opposite natures of HF and LSDA (or GGA) in approximating the electron-electron correlation. In the configuration interaction (CI) calculation, \( U \) is the energy difference between two configurations \( d^6 \) and \( d^4 \), corresponding to an extra localized \( d \) electron and \( d \) hole, respectively, if we neglect the direct exchange \( (U) \) that is usually in order of \( 10^{-2} \) eV for transition-metal oxides. Thus, if we interpret the valence bands as the states of a probing hole and the conduction bands as the states of a probing electron, \( U \) could be directly mapped to the energy difference between the localized \( d \) bands in the valence and conduction bands. Further, to remove the band effect introduced by translational symmetry of the crystal, we calculated the average of a localized \( d \) band using its DOS as the weight. As shown in Fig. 7, \( U \) decreases when \( w \) decreases. For example, at \( w = 40\% \), \( U \) of the Mn\(^{2+} \) \( e_g \) band is about 0.46 hartree = 11.7 eV and that of the Mn\(^{2+} \) \( t_{2g} \) band is about 0.41 hartree = 11.2 eV. At \( w = 100\% \), \( U \) of the Mn\(^{2+} \) \( e_g \) band is about 0.82 hartree = 22.3 eV and that of the Mn\(^{2+} \) \( t_{2g} \) band is about 0.80 hartree = 21.8 eV. It should be noted that, under Hartree-Fock approximation, \( U \) should be equal to \( U_0 \). In fact, Towler et al. evaluated \( U \) for MnO at the experimental lattice parameter using the radial part of a \( d \)
wave function of Mn\(^{2+}\) optimized for bulk MnO by pure Hartree-Fock calculation, and obtained \(U = 0.861\) hartree \(= 23\) eV.\(^{30}\) When \(w\) decreases, more and more electron-electron correlation is taken into account and as a result \(U\) decreases. Since the basis sets used in our calculation are optimized for pure Hartree-Fock calculations, the calculations will diverge when \(w\) is smaller than 40\% for the FI-1 magnetic structure.

Second, we consider \(U\) and \(\Delta\), which classify the insulating transition-metal oxides. Analogous to \(U\), \(\Delta\) is extracted from the DOS as the energy difference between the localized \(d\) bands in conduction bands and the \(d\) bands submerged into the \(O^{2-}\) bands, and is plotted in Fig. 8. Since both \(Mn^{2+}\) and \(Fe^{3+}\) are magnetic in MnFe\(_2\)O\(_4\), we have a complex insulator. Using the values of \(U\) and \(\Delta\) deduced from the \(Mn^{2+}\) bands, we may classify MnFe\(_2\)O\(_4\) as an interim insulator since \(U\geq \Delta\). However, using the values of \(U\) and \(\Delta\) deduced from the \(Fe^{3+}\) bands, we may classify MnFe\(_2\)O\(_4\) as a charge-transfer insulator since \(U>\Delta\). The CI analyses of photoemission data suggest that MnO is an interim between the Mott insulator and charge-transfer insulator\(^{74-76}\) and that hematite (\(\alpha\)-Fe\(_2\)O\(_3\)) is a typical charge-transfer insulator,\(^{77,78}\) which indirectly supports the above result. However, if we focus on the band gap, which is between the spin-down full \(d\) band of \(Mn^{2+}\) and the spin-down empty \(d\) band of \(Fe^{3+}\), the substantial contribution to the conduction of intrinsic MnFe\(_2\)O\(_4\) is the process

\[
\text{Mn}^{+} + \text{Fe}^{3+} \rightarrow \text{Mn}^{3+} + \text{Fe}^{2+},
\]

which is a spin-conservative transfer of electrons between \(Mn^{2+}\) and \(Fe^{3+}\). Also, both \(U\) and \(\Delta\) monotonously decrease when \(w\) decreases (Figs. 7 and 8), which is qualitatively compatible with the fact that the absolute value of \(J_{AB}\) increases monotonously as \(w\) decreases.

**TABLE I. Comparison between theory and experiment.**

<table>
<thead>
<tr>
<th></th>
<th>(J_{AB}) (K)</th>
<th>(J_{BB}) (K)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Normal</td>
<td>Inverse</td>
</tr>
<tr>
<td>This</td>
<td>(-15.3^{a})</td>
<td>(-14.5^{b})</td>
</tr>
<tr>
<td>calculation</td>
<td>(-18.3^{a})</td>
<td>(-21.4^{d})</td>
</tr>
<tr>
<td>Experiment</td>
<td>(-22.7^{e})</td>
<td>(-19.1^{f})</td>
</tr>
<tr>
<td>HF</td>
<td>(-4.7)</td>
<td>(-4.4)</td>
</tr>
<tr>
<td>LSDA</td>
<td>(-464^{g})</td>
<td>(-464^{g})</td>
</tr>
</tbody>
</table>

\(^{a}\)Using optimized basis sets and evaluated at \(w = 40\%\).
\(^{b}\)Using optimized basis sets and evaluated at \(w = 50\%\).
\(^{c}\)Using the basis sets with expanded \(3d\) wave functions and evaluated at \(w = 30\%\).
\(^{d}\)Using the basis sets with expanded \(3d\) wave functions and evaluated at \(w = 40\%\).
\(^{e}\)Reference 73.
\(^{f}\)Reference 68.
\(^{g}\)Reference 66.

**IV. CONCLUSION**

In Table I, we summarize and compare the values of \(J_{AB}\) and \(J_{BB}\) calculated by us with the experimental values. The calculated \(J_{AB}\) and \(J_{BB}\) are closer to the experimental values than either HF or GGA for MnFe\(_2\)O\(_4\), by using the basis sets with expanded \(3d\) wave functions of \(Fe^{3+}\) ions at \(w = 30\%\). The calculated DOS shows that MnFe\(_2\)O\(_4\) is an insulator, which is qualitatively in agreement with experiments. Also the calculated DOS shows that the \(Fe^{3+}\) and \(O^{2+}\) system is a typical charge-transfer insulator and that the \(Mn^{2+}\) and \(O^{2-}\) system is an interim between a Mott insulator and charge-transfer insulator, both of which are indirectly supported by experiments. The dependencies of \(U\) and \(\Delta\) on \(w\) are extracted from the calculations, and show qualitative compatibility with the dependence of \(J_{AB}\) on \(w\). In conclusion our calculated results yield a set of magnetic and electronic properties, which are consistent with experimental observations. The fact that the calculations are able to be generally applicable to various experiments lends some credibility to our calculation.

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